SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 4366-40	SERIAL NO. 09/921,458
	APPLICANT HONG et al.	
	FILING DATE August 3, 2001	GROUP ART 2661

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.

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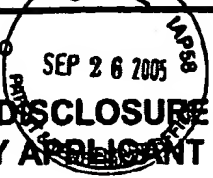
FOREIGN PATENT DOCUMENTS


		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
DC	AA	WO 01/69890 A1	09/2001	PCT				
DC	AB	PCT/US 01/24313	03/08/2001	PCT International Search Report				

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)


DC	AC	Alteon Web Systems, VIRTUAL MATRIX ARCHITECTURE Scaling Web Services for Performance and Capacity, April 2000, 13 pages, http://www.alteonwebsystems.com
DC	AD	Apostolopoulos, G., et al. Design, Implementation and Performance for a Content-Based Switch, IEEE INFOCOM 2000, pgs. 1117-1126
DC	AE	Liebmman, L. Load Balancing: Where the Action Is, Network Magazine.com, January 3, 2000, 7 pages. http://www.networkmagazine.com/article/NMG20000426S0014

EXAMINER <i>Isaac Clark</i>	DATE CONSIDERED 12/15/2004
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute for form 1449A/PTO					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Complete if Known		
			Application Number	09/921,458	
			Filing Date	August 3, 2001	
			First Named Inventor	HONG, Jack	
			Art Unit	2154	
			Examiner Name	CLARK, ISAAC R.	
Sheet	1	of	1	Attorney Docket Number	4366-40

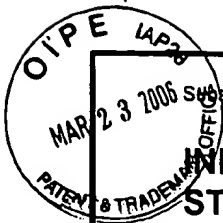
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code <small>TP known</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,304,973	01-16-2001	Williams	
		US-			
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		US-			
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ : Number ⁴ ; Kind Code ⁵ (if known)					
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Examiner Initials*	Cite No. ¹	
	2	USPTO, Official Office Action date 07/15/2005, in U.S. Application Serial No. 09/921,832, filed 08/03/2001, 22 pages

Examiner Signature		Date Considered	8/31/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	09/921,458
Filing Date	August 3, 2001
First Named Inventor	HONG, Jack
Art Unit	2154
Examiner Name	CLARK, ISAAC R.
Attorney Docket Number	4366-40

Sheet	1	of	1
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Examiner Initials*	Cite No. ¹	Document Number Number-kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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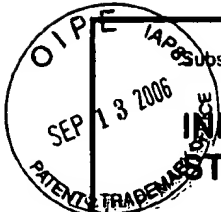
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² ; Number ³ ; Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵

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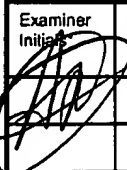
Examiner Initials*	Cite No. ¹	
	AA	Office Action from the U.S. Patent and Trademark Office dated February 9, 2006, regarding Application No. 09/921,832 (Atty. Docket No. 4366-49).

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				Application Number	09/921,458
				Filing Date	August 3, 2001
				First Named Inventor	HONG, Jack
				Art Unit	2154
				Examiner Name	CLARK, ISAAC R.
Sheet	1	of	1	Attorney Docket Number	4366-40

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	6,510,464	1/21/2003	Grantges, Jr. et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
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Application Number	09921458
Filing Date	2001-08-03
First Named Inventor	Hong
Art Unit	2154
Examiner Name	PATEL, ASHOKKUMAR B
Attorney Docket Number	4366-40

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5710883		1998-01-20	Hong et al.	
	2	6664978		2003-12-16	Kekic et al.	
	3	6671853		2003-12-30	Burkett et al.	
	4	6748446		2004-06-08	Sato et al.	
	5	6754699		2004-06-22	Swildens et al.	

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Application Number	09/921,458
Filing Date	August 3, 2001
First Named Inventor	HONG, Jack
Art Unit	2154
Examiner Name	CLARK, ISAAC R.
Attorney Docket Number	4366-40

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-kind Code ^{1,2}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US 5,737,536	04-07-1998	Herrmann, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ ; Number ³ ; Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
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Examiner Initials*	Cite No. ¹	
	2.	Office Action from the U.S. Patent and Trademark Office dated July 29, 2005 regarding Application No. 09/921,460 (Atty. Docket No. 4366-50)

Examiner Signature		Date Considered	5/31/06
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Application Number	09921458
Filing Date	2001-08-03
First Named Inventor	Hong
Art Unit	2154
Examiner Name	PATEL, ASHOKKUMAR B
Attorney Docket Number	4366-40

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² ;	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	02/13479	WO		2002-02-14	Avaya Technology Corporation		<input type="checkbox"/>



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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Arlitt, Martin F. "Web Server Workload Characterization: The Search for Invariants," SIGMETRICS 1996, pp. 1-12, <i>may, 1996</i> .	<input type="checkbox"/>
	2	Cohen, Edith, et al. "Evaluating Server-Assisted Cache Replacement in the Web," Lecture Notes in Computer Science, vol. 1461, Aug. 1998, pp. 307-319.	<input type="checkbox"/>
	3	Franklin, Michael J. et al. "Transactional Client-Server Cache Consistency: Alternatives and Performance," ACM Transactions on Database Systems, vol. 22, No. 3, Sep. 1997, pp. 315-363.	<input type="checkbox"/>
	4	Kristol et al. "HTTP State Management Mechanism," RFC 2109, February 1997	<input type="checkbox"/>
	5	Luciani, J. et al. "Server Cache Synchronization Protocol," RFC 2334, Apr. 1998..	<input type="checkbox"/>
	6	Rekhter, Y. et al. "Cisco Systems' Tag Switching Architecture Overview," RFC 2105, Feb. 1997.	<input type="checkbox"/>
	7	Yin, Jian et al. "Hierarchical Cache Consistency in a WAN," 2.sup.nd USENIX Symposium on Internet Technologies and Systems, 1999, pp. 13-24. <i>108/30/1999</i>	<input type="checkbox"/>


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Application Number	09921458		
Filing Date	2001-08-03		
First Named Inventor	Hong		
Art Unit	2154		
Examiner Name	PATEL, ASHOKKUMAR B		
Attorney Docket Number	4366-40		

	8	Written Opinion for corresponding International (PCT) Patent Application No. PCT/US01/24313 mailed July 18, 2002	<input type="checkbox"/>
	9	Corrected International Preliminary Examination Report for corresponding International (PCT) Patent Application No. PCT/US01/24313 mailed August 29, 2003	<input type="checkbox"/>

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